

## UNIT TRUST ADDITIONAL APPLICATION FORM

### HOW TO INVEST

1. Please complete all relevant sections of this form and send the required documents to Kgori Capital at fax number +267 3915980 or email to [mancoadmin@kgoricapital.com](mailto:mancoadmin@kgoricapital.com).
2. Cut off times for receiving instructions are 11:00 for Money Market Funds and 13:00 for all other Funds. Instructions received after these cut off times will only be processed on the next business day.
3. The following supporting documentation must be submitted with this application:

KYC Documentation *(only if changed)*     Proof of Deposit     Proof of Banking Details *(only if changed)*

### INVESTOR DETAILS

Name   
Client Number

### CONTACT PERSON

Title  Surname   
First Name(s)  Male  Female   
Telephone (H)  Telephone(W)   
Cell  Fax   
Email Address

### BANKING DETAILS (IF CHANGED)

Name of Account Holder	<input type="text"/>
Name of Bank	<input type="text"/>
Branch Name	<input type="text"/>
Branch Code	<input type="text"/>
Account Number	<input type="text"/>
Account Type	<input type="text"/>

Signature of Account Holder

- If bank details have changed since the initial application a cancelled cheque or bank statement must be attached as proof of banking details.
- The account holder must have a Botswana bank account.
- No payments will be made into third party bank accounts or credit cards (i.e. payments will only be made to the bank account in the name of the registered investor).
- No payments will be made by cheque.

### SOURCE OF FUNDS INVESTED

Please specify the source of funds (e.g. salary; investment proceeds; sale of assets; inheritance etc.) The company reserves the right to request documentary proof e.g. income statement, bank statement.

### METHOD OF PAYMENT

**Lump Sum** Please deposit your lump sum investment directly into the following bank account:

Account Name	Prescient Management Company – Inflow Account
Account Number	9060003213752
Bank	Stanbic Bank
Branch	Fairground
Branch Code	064967
Reference Number	Investors Name and Surname

Electronic / Internet  
Transfer

Electronic internet transfers may take up to two business days to appear in the bank account. Units may only be purchased upon receipt of documentation and funds into the account. Please insert investor's name as reference. Please attach proof of transfer.

**Banking details for debit order deduction/electronic collection (if different from investor's bank details):**

Name of Account Holder	
Bank	
Branch Name	
Branch Code	
Account Number	
Account Type	

Signature of Account Holder

### INVESTMENT ADVISOR DETAILS (IF APPLICABLE)

Name of Investment Advisor

Name of Financial Services Provider

License Number

Contact Tel No

Email

Prescient IFA/Broker Code (to be obtained from Prescient)

VAT vendor status

Registered

Not Registered

VAT Number

**I, the appointed Investment Advisor for this investment application declare that:**

1. I am licensed to render services in respect of this product.
2. I have made the disclosures required in terms of the *Collective Investment Undertaking Act* and Regulations and subordinate legislation thereto, to the investor/s.
3. I have fully explained the meaning and implications of replacement (if applicable) to the investor/s and that I am fully aware of the possible detrimental consequences of replacement.
4. I have established and verified the identity of the investor/s (and persons acting on behalf of the investor) in accordance with the *Financial Intelligence Agency Act (FIA)* and the regulations thereto, and I will keep records of such identification and verification according to the provisions of FIA.
5. I have explained all fees that relate to this investment to the investor/s and I understand and accept that the investor/s may withdraw his / her authority for payment to me in writing and inform Prescient.
6. My personal information may be used by Prescient in the normal course of business to provide the products and services and Prescient may retain any information for purposes of investment transactions, processing and administration and to communicate directly with me. Personal information will not be given or sold to any third parties. Prescient will disclose or report personal information if and when required to do so by law or any regulatory authority, and to our employees, or agents who require such information to carry out their duties.

Signature of Investment Advisor

Date

## SPECIAL INSTRUCTIONS

In the event that a special fee arrangement has been entered into with the Manager, please indicate such arrangement below.


## AUTHORISATION AND DECLARATION

1. I have read and fully understood all the pages of this application form and agree to the Terms and Conditions of this investment into the Unit Trust Option(s) and I understand that this application and any further documents, read with the Prospectus constitutes the entire agreement between Prescient and me.
2. I warrant that the information contained herein is true and correct and that where this application is signed in a representative capacity, I have the necessary authority to do so and that this transaction is within my power.
3. I am aware of the charges and fees, the total expense ratio, investment objectives, risk factors and income distributions applicable to my investment as set out in this form and in other documentation provided to me.
4. I authorise Prescient to deduct any debit orders, electronic collections, any applicable taxes and also to pay all fees. If there are insufficient funds to pay the additional annual advisor fees to the Investment Advisor from one portfolio, Prescient will sell units proportionately from the portfolios and pay the amounts to the advisor monthly. Permissible deductions from the portfolio include management fees, performance fees, bank charges, trustee/custodian fees, audit fees, securities transfer tax and brokerage.
5. I acknowledge that the responsibility in ensuring my instruction has been received and actioned by Prescient lie with me.
6. I acknowledge that Prescient will not be liable for any damages or loss of whatsoever nature arising out of Prescient's failure to action my instruction due to any occurrences beyond the control of Prescient, nor will Prescient be liable for any loss incurred due to incorrect information being supplied by my or by me sending completed documentation to the incorrect mailbox.
7. I acknowledge the transaction cut off times set out herein and agree to comply with such cut off times.
8. I acknowledge the inherent risk associated with the selected Unit Trust Option(s) and that there are no guarantees.
9. I understand and agree that no part of the services provided by Prescient constitutes a solicitation, recommendation, guidance or proposal, nor does it constitute financial, tax, legal, investment or other advice. I warrant to Prescient that I am acting for my own account, I have made my own independent decisions to enter into the investment and as to whether the investment is appropriate or proper for me, based upon my own judgment and upon advice from such advisors as I may deem necessary. I warrant that I am not relying on any communication from Prescient, whether written, oral or implied as investment advice or as a recommendation to enter into the investment; it being understood that information and explanations relating to the terms and conditions of an investment shall not be considered

investment advice or a recommendation to enter into the investment. I warrant that I have not received from Prescient any assurance or guarantee as to the expected results of the investment.

10. I understand that Prescient will accept instructions from my Investment Advisor only if duly appointed and authorised in writing by me. Prescient will not be held liable for any losses that may result from unauthorised instructions given to Prescient by my Investment Advisor.
11. I hereby authorise Prescient to furnish written reports to my duly appointed Investment Advisor, if applicable.
12. If I have appointed an Investment Advisor I authorise the payment of the negotiated fees to be paid to my Investment Advisor from my portfolios. This authority to pay fees may be withdrawn by written notice to Prescient.
13. I authorise Prescient to accept and act upon instructions by facsimile or e-mail and hereby waive any claim that I have against Prescient and indemnify Prescient against any loss incurred as a result of Prescient receiving and acting on such communication or instruction.
14. Botswana Unified Revenue Service (BURS) requires Prescient to pay over withholding tax on your behalf where applicable. Prescient will deduct this tax before it pays any interest or re-invests these into your investment account. Unless Prescient receives information from you indicating otherwise, accounts held by Botswana trusts, companies and partnerships will attract the default Withholding Tax rate of 10%.
15. Any personal information may be used by Prescient in the normal course of business to provide the products and services and Prescient may retain any information for purposes of investment transactions, processing and administration and to communicate directly with me. Personal information will not be given or sold to any third parties. Prescient will disclose or report personal information if and when required to do so by law or any regulatory authority, and to Prescient's employees (if relevant), or agents who require such information to carry out their duties. I/we consent to the processing of my/our personal information/data and the disclosure of my/our personal information/data to any tax authority and/or as may be required to comply with FATCA and the Common Reporting Standard (CRS) as explained in the Terms and Conditions and the Compulsory Foreign Tax Declaration and Self-Certification attached hereto.
16. I consent to Prescient making enquiries of whatsoever nature for the purpose of verifying the information disclosed in this application and I expressly consent to Prescient obtaining any other information concerning me from any source whatsoever to enable Prescient to process this application.
17. I confirm that I have received, noted and understand the following information:
  - the Fund Prospectus
  - Fund Fact Sheet
  - investment objectives
  - the calculation of the NAV and dealing prices
  - charges and fees
  - risk factors
  - distribution of income accruals
  - any additional information necessary to enable the investor to make an informed decision
18. Political Exposed Person (PEP) is someone who has been entrusted with a prominent public function, or an individual who is closely related to such a person.

I consider myself to be, or to be associated with a PEP:      Yes            No     

If Yes, please provide details:

Signed at       Date

1. Full name of signatory       Capacity

Signature of Investor

2. Full name of signatory       Capacity

Signature of Investor

Signature of investor's authorised representative \*(if applicable)

**\* if signing on behalf of the investor please provide proof of authority and supporting verifying documentation.**

## CONTACT PRESCIENT MANAGEMENT COMPANY (BOTSWANA) (PTY) LTD

<b>Physical Address</b>	Ground Floor, The Hub, Itowers, New CBD, Gaborone, Botswana
<b>Postal Address</b>	P.O. Box 3576, Gaborone Central
<b>Telephone</b>	+267 3731109
<b>Fax</b>	+267 3981901
<b>Email</b>	mancoadmin@kgoricapital.com
<b>Website</b>	www.prescient.co.za

## COMPLAINTS

Please do not hesitate to contact us if you are not satisfied with this investment or the services received from Prescient. A complaint must be submitted to the Compliance Officer. Prescient will acknowledge the complaint in writing and will inform the investor of the contact details of the persons involved in the resolution thereof. Should you wish to lodge a complaint regarding the services being provided, an email can be sent to [complaints@prescient.co.za](mailto:complaints@prescient.co.za) or alternatively you can obtain our complaints policy, conflict of interest management policy from the compliance department (address above).

If an investor is not satisfied with the response from Prescient or if an investor has a complaint about the advice given by the Investment Advisor, he/she has the right to address his/her complaint in writing to NBFIRA at the address below. NBFIRA is legally empowered to investigate and adjudicate complaints in a procedurally fair, economical and expeditious manner.

<b>Address</b>	Prescient House, Westlake Business Park, Otto Close, Westlake, 7945
<b>Telephone</b>	+27 21 700 7333
<b>Fax</b>	+27 21 700 5463
<b>Email</b>	www.nbfira.org.bw and see complaint lodging tab

## INVESTMENT OPTION DETAILS

Please complete the table below once you have made your selection from the latest Investment Option Brochure

1. I hereby apply to purchase units in the selected portfolios subject to the conditions of the relevant Prospectus at the ruling fund prices.
2. A maximum of 5% initial fees will be payable.
3. The **Investment advisor fees**:
  - You may negotiate an **initial investment advisor fee**, to be paid to your investment advisor before your first contribution is invested.
  - You may negotiate an **annual investment advisor fee** to be paid to your investment advisor. Units will need to be cancelled to pay your advisor this fee.
4. Fees exclude VAT.

Unit Trust Portfolio	Fund Class	Lump Sum Investment (P)		Recurring Debit Order (P)		Re-invest distributions? If "Yes" ✓	Initial Investment Advisor Fee (%) (If applicable)	Annual Investment Advisor Fee (%) (If applicable)
		Minimum Amount	Investment Amount	Minimum Amount	Investment Amount			
Kgori Capital Enhanced Cash Fund		P 10,000.00	P	P 1,000.00	P		%	%
Kgori Capital Balanced Fund		P 1,000.00	P	P 200.00	P		%	%

\*Should you elect to have your distributions paid out, they will be paid into the bank account specified in this application. All distributions below P1 000 will automatically be re-invested.